

CASINO, LIQUOR & GAMING CONTROL AUTHORITY

**APPLICATION BY A CORPORATION FOR THE
GRANT OF A GAMING MACHINE DEALERS,
SELLERS, OR TESTING FACILITY LICENCE UNDER
THE GAMING MACHINES ACT 2001**

COMPANY PROBITY FORM (Part A)

Use this form if you are applying for any of the following licences (*tick the relevant box*):

- A gaming machine dealer's licence
- A gaming machine seller's licence
- A gaming machine testing facility licence

AND you are a corporation

in respect of premises situated at _____

Name of Corporation

ACN/ABN

Name of Solicitor/representative (*if applicable*) _____

Name of legal firm/representative _____

Address _____

Telephone No _____ Facsimile No _____

Email _____

ENQUIRIES

- If you are not sure whether you need a licence or the type of licence required you should visit the NSW Office of Liquor, Gaming and Racing's (OLGR) website at www.olgr.nsw.gov.au and if you cannot find the information there, contact OLGR's Legal and Licensing Branch on 02 9995 0887.

INSTRUCTIONS

- The application (Part A and Part B) is to be lodged in triplicate (one original and two copies) at OLGR, Level 6, 323 Castlereagh Street, Sydney. (telephone 02 9995 0765), together with the lodgement fee of \$1,000 (dealer's licence) or \$100 (all other licence types). If the licence is granted, a fee-on-grant must be paid: \$9,000 (dealer's licence); \$900 (testing facility licence); \$400 (seller's licence).
- This form (Part A) contains the introductory pages for the Company Probity form and must be completed and lodged in conjunction with Company Probity form (Part B). Company Probity form (Part B) is a generic form used across all regulatory jurisdictions within Australia and New Zealand.
- Both Company Probity forms (Part A and Part B) and the Personal Probity Form (Part C) should be completed in every particular. Incomplete forms may be returned for completion. This may result in delays in the probity process and, if applicable, the consideration of any licence application.
- A Personal Probity Form (Part C) must be completed by all natural persons who are directors and shareholders (holding more than 5%) named in Parts A and B of the application.
- A Personal Probity Form (Part C) is also to be completed by all natural persons who are directors and shareholders (holding more than 5%) of any holding company or ultimate holding company of the applicant, if applicable.

IMPORTANT

WARNING ABOUT FALSE OR MISLEADING INFORMATION OR INCOMPLETE DISCLOSURE

Applicants and other persons completing this form should be aware that the information you provide in this application will be checked by various agencies. Making a false or misleading statement or omission of any material matter is an offence pursuant to section 36 of the Casino, Liquor and Gaming Control Authority Act 2007, which may lead to prosecution action with a penalty of up to \$5,500 or 12 months in prison (or both) and/or refusal of the application.

If, before the application is granted, a change occurs in the information provided in, or in connection with the application or in the documents lodged with the application, the applicant must immediately give the Authority notice in writing specifying particulars of the change.

Where a licence is issued and it is subsequently found that full disclosures had not been made in relation to the licensee or its associates or that false or misleading information had been provided, action may be taken against the licensee to show cause why the licence should not be cancelled or suspended.

INSTRUCTIONS TO BE READ

It is critical that you read the 'Instructions for Completion' contained on pages 2 and 3 in Company Probity form (Part B) before the form is completed.

This form is also to be accompanied by a Personal Probity Form (Part C) completed by each natural person named in this application.

VERIFICATION OF DEPONENT'S IDENTITY

CERTIFICATION BY A JUSTICE OF THE PEACE OR A LEGAL PRACTITIONER

I certify that I have verified the deponent's identity and the correct spelling of her/his name by examining the following, not being less than one form of identification which contains the deponent's photograph, which she/he has produced to me (e.g. driver's licence or passport) which show the deponent's date of birth, current address and signature, at the time this affidavit was sworn.

Type of Identification _____ Number _____

_____ Number _____

Justice of the Peace/Legal Practitioner

*Print full name of
Justice of the Peace/Legal Practitioner*

JP Reg. No.

NB: You must attach a clearly legible photocopy of the forms of identity used by the Justice of the Peace/legal representative to confirm the identity of the deponent. If you do not attach photocopies, this application will not be accepted.

APPLICATION FOR WORK PERMIT
(ONLY AVAILABLE FOR GAMING MACHINE SELLER'S & TESTING FACILITY LICENCES)

If you wish to apply for a temporary work permit pending determination of the application, please complete the following details. The cost of the work permit is \$50.

Name of Company _____

ACN/ABN _____

Type of licence applied for _____

Registered Office _____

Contact person _____

Telephone Numbers _____

private

business

mobile

Facsimile Number _____ Email _____

AUTHORITY FOR RELEASE OF INFORMATION BY A CORPORATION

(name of corporation)

of _____

(address)

hereinafter referred to as “the Corporation”:

1. acknowledges that the *Gaming Machines Act 2001* (“the Act”) authorises the Director of Liquor and Gaming and the NSW Commissioner of Police to investigate or inquire into the Corporation for the purposes of determining the Corporation’s suitability for the purposes of the Act;
2. authorises the Director of Liquor and Gaming, the NSW Commissioner of Police and any person conducting any investigations or inquires on behalf of the Director of Liquor and Gaming and the NSW Commissioner of Police for the purposes of the Act, including any officer of the NSW Office of Liquor Gaming and Racing or the NSW Police Force (“Authorised Person”), to obtain any information and make any investigations or enquiries which relate to the Corporation and may be relevant to any of the purposes of the Act, in any jurisdiction;
3. authorises an officer of the Australian Taxation Office, the manager or other principal officer of any branch or office of a bank or financial institution in any jurisdiction to whom a copy of this authority is presented to allow any Authorised Person to inspect and obtain copies of, or to release to any Authorised Person, any record, document or other information of any kind in written, electronic or any other form, which relates to the Corporation and is held by the Australian Taxation Office, bank or financial institution; and
4. authorises any officer of any police service, law enforcement agency or regulatory body in any jurisdiction to whom a copy of this authority is presented to release to any Authorised Person any information or official record of any kind in written, electronic or any other form, which relates to the Corporation and is held by the police service, agency or body, including any information relating to the criminal history of the Corporation.
5. authorises the release of this form to other gaming authorities who regulate a gaming industry in which you either seek licence or are licensed.

The Corporation undertakes that it will at all times sufficiently indemnify those officers referred to in “3” and “4” above and the Authorised Person and keep those officers referred to in “3” and “4” above and the Authorised Person indemnified against all liability in respect of the supply or disclosure in accordance with the Act, of the information, and against all actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken against those officers referred to in “3” and “4” above and the Authorised Person or incurred or become payable by those officers referred to in “3” and “4” above or the Authorised Person in respect thereof.

SIGNED by the Corporation in accordance with S.127 of the Corporations Act 2001

this day of _____ 20 _____

(Signature of authorised person)

(Signature of authorised person)

(Name and position)

(Name and position)