



APPLICATION TO REGISTER A SYNDICATE (Company, Firm or Stud)

S

FEE \$250

COMPANY:

Name of Company hereby applies for approval under the Rules of Racing to race horses as a Syndicate

DATE: .../.../..... Under the Name:

Office Address

Postal Address

NOTE FOR COMPANY APPLICATION:

This form must be accompanied by a copy of the *ASIC COMPANY EXTRACT (dated within seven days of this application), the CONSTITUTION or CERTIFICATE OF INCORPORATION, and the prescribed fee. The syndicate must be renewed annually. It is the responsibility of the Registered Manager to advise the Registrar or Deputy Registrar of each horse part/owned/leased by this Company and supply the appropriate form and fee.

FIRM OR STUD:

Name of Firm of Stud hereby applies for approval under the Rules of Racing to race horses as a Syndicate

If Stud please state the number of mares returned in the last breeding season to the Australian Stud Book

NOTE FOR FIRM APPLICATION:

This form must be accompanied by a copy of the Business name registration and the prescribed fee. The syndicate must be renewed annually. It is the responsibility of the Registered Manager to advise the Registrar or Deputy Registrar of each horse part/owned/leased by this Company and supply the appropriate form and fee

The Registered Manager of the Syndicate is

Representatives

Details of any other application for, or registration of, this Syndicate with any other Racing Authority

Please circle: Bankcard Visa Mastercard
Card Number
Expiry Date
Cardholder's Name:
Signature of Cardholder:

PLEASE RETURN TO THE BELOW ADDRESS:
Level 7, 51 Druitt St, Sydney NSW 2000
Ph: (02) 9551 7500
Fax: (02) 9551 7587
Email: syndicates@racingnsw.com.au
Website: www.racingnsw.com.au

Office Use Only: Cash/Cheque/Money Order/Credit Card
Amount \$
Date Received:
Date Processed:
Registration Officer:

ALL DIRECTORS, OFFICERS and MEMBERS must complete their details below.

Please ensure you have read the Personal Information and Privacy Statement prior to signing this form which includes reference to the Rules of Racing.

By signing this Application you are bound by the Australian Rules of Racing and the Personal Information and Privacy conditions as attached



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SYNDICATE MANAGER DETAILS: PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

* SIGNATURE

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MEMBER DETAILS: PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

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MEMBER DETAILS PLEASE CIRCLE ONE: DIRECTOR OFFICER MEMBER/SHAREHOLDER

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

Date of Birth:

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Shares Held

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MEMBER DETAILS **PLEASE CIRCLE ONE: DIRECTOR OFFICER**

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

MEMBER/SHAREHOLDER

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

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MEMBER DETAILS **PLEASE CIRCLE ONE: DIRECTOR OFFICER**

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

MEMBER/SHAREHOLDER

Date of Birth:

Phone Number:

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Shares Held

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MEMBER DETAILS **PLEASE CIRCLE ONE: DIRECTOR OFFICER**

Title: Surname:

Given Names:

Postal Address:

Suburb: Post Code:

Occupation:

Email Address:

MEMBER/SHAREHOLDER

Date of Birth:

Phone Number:

Mobile Phone:

Shares Held

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SIGNATURE

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APPOINTMENT OF REPRESENTATIVE (OR RACING MANAGER)

AR 1. "Manager" means the first-named person in the Certificate of Registration, Document of Description of Transfer or Lease (if leased) of a horse or if the horse is owned or leased by a Syndicate, the person first-named in the Certificate of Registration of the Syndicate, subject always to the provisions of AR 57 (1). If the horse is owned or leased by more than one Syndicate, the first-named person appearing in the Certificate of Registration of the first-named Syndicate shall be deemed to be the Manager.

AR 57. (1) The Manager may be removed or replaced by a memorandum signed by the joint owners or lessees or Syndicate members representing a majority interest in the horse. (2) The Manager of a horse shall, alone of the joint owners, lessees or Syndicate members be entitled to: (a) enter, nominate, accept or scratch such horse for any race; (b) engage a jockey to ride such horse for any race; (c) received any prizemoney or trophy won by such horse; or (d) act for and represent the joint owners, lessees or Syndicate members in relation to the horse in all respects for the purpose of these Rules. (3) The entry or nomination of every such horse for any race shall state thereon the name of the Manager. (4) The trainer of any such horse who enters, nominates, accepts or scratches such horse shall be deemed to have done so with the authority of the Manager and all the other nominators.

PERSONAL INFORMATION

To assist in making ownership determinations, the Registrar must assess the fitness and propriety of each applicant. This assessment requires the collection of sensitive information. In order to protect each individual's privacy certain necessary information has not been requested on the application form, however, all applicants must read the following questions.

- 1 In the past 10 years, have you been convicted of, or is there a pending charge against you, for any offence involving:
 - (a) Violence against a person; or
 - (b) Dishonest or criminal activity
- 2 Have you ever been convicted under the Australian Rules of Racing or rules of any Principal Racing Authority?

If any applicant should answer "yes" to any of these questions, the applicant must notify the Registrar in writing prior to the lodgment of the application. Such notification must include full details of the conduct in question. The Registrar will advise in writing within seven days of having received such notification. That advice should be retained by the applicant as evidence that the appropriate notification has been made. You are advised that should it be established that an individual has neglected or failed to truthfully respond to questions 1(a), 1(b), or 2, this application and any other application concerning the individual may be refused or cancelled at any time. If the notification has previously been advised to the Registrar, there is no need to do so again unless subsequent charges or convictions have been recorded against you since you submitted said notification to the Registrar.

A Principal Racing Authority or the Stewards may punish any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing under AR175(gg). Inaccuracies or omissions may also lead to penalties and refusal or cancellation of the Registration of this horse (AR17)

PRIVACY

Your Personal Information

The personal information collected on this form which is required to complete your application includes your name, birth date, address, contact details, email, mobile GST status, and bank account details. This information is required to:

- identify you
- assess your eligibility as an owner
- facilitate the administration of racing
- communicate with you
- enable payment of prize money

Where information is not provided your application may be refused or delayed until the required information is provided.

How your personal information is used

The information collected about you will be shared with the PRA in each state and territory of Australia in order to:

- enable PRAs to fulfill their regulatory responsibilities
- promote and protect the integrity of the sport to ensure compliance with the Rules of Racing
- communicate with you including for promotional, marketing and publicity purposes

Personal information may be disclosed to third parties such as government enforcement agencies, appeal bodies, race clubs, the Australian Stud Book, wagering service providers, international racing bodies, industry associations such as Breeders, Trainers and Owners Associations or other authorities as required, but only for one or more of the purposes described above or if RISA believes that the disclosure is reasonably necessary for law enforcement either by or on behalf of an enforcement body or the disclosure is authorised or required by law. Names of horse owners are publicly available on certain websites and may also appear in race field lists, race books, form guides and similar publications where appropriate.

ASSIGNMENT AND LICENCE

Due to the essential role that RISA plays in administering, promoting and reporting on thoroughbred horse racing, as well as providing racing materials, RISA must be able freely to use all intellectual property rights (including but not limited to copyright) that may subsist in the name, image, jockey silks and other indicia associated with this horse.

In order to allow RISA to use these intellectual property rights without impediment, as a condition of the horse's registration, you agree that RISA owns all right, title or interest (including but not limited to copyright, goodwill and reputation) in the name, image, jockey silks or other indicia associated with that horse, whether existing before or after you sign this form. To the extent that you own any such intellectual property rights by force of law, you must assign them to RISA. You must also undertake to RISA that you will not apply to register as a trademark any such indicia or do anything that will or may adversely affect or otherwise limit the ability of RISA, the principal racing authorities or race clubs to administer, promote or report on thoroughbred racing (or authorise any other person to do so). You may request permission from RISA to register a trade mark associated with the horse, and RISA may accept or reject your request in its absolute discretion and subject to any conditions it deems necessary or desirable.

In exchange for the agreement, assignment and undertakings, upon registration of the horse RISA will grant to you a non-exclusive, royalty-free and non-transferable licence to (a) use the name, image, jockey silks and other indicia associated with the horse where RISA owns the intellectual property in such indicia for any purpose related to racing, training, promoting and otherwise dealing with the horse, including merchandising; and (b) sub-license the same to any other person