

Complete section 2 to 9 below:

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| 2 | Jockey/Client List | | | |
| | Jockey's Name | Area/s or Regions in which you Act as an Agent | Jockey's Name | Area/s or Regions in which you Act as an Agent |
| | 1. | | 4. | |
| | 2. | | 5. | |
| | 3. | | | |

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| 3 | Names of any other person assisting you as a Rider's Agent? | 1..... 2..... 3..... |
| If you answer Yes to any questions from 4 to 9 please provide details | | |
| 4 | Do you currently hold, or have you previously been issued a licence with another Principal Racing Authority? | No <input type="checkbox"/> Yes <input type="checkbox"/> If Yes, with which Racing Authority? Date Issued (From): (To): |
| 5 | Are you currently under any ban/restriction imposed by any Racing Authority (except careless riding suspensions)? | No <input type="checkbox"/> Yes <input type="checkbox"/> |
| 6 | Are there any charges pending against you, or any open or unfinished inquiries, investigations or matters before any Racing Authority involving you? | No <input type="checkbox"/> Yes <input type="checkbox"/> |
| 7 | Have you ever had a licence disqualified, revoked, suspended, withdrawn, refused by any Racing Authority? | No <input type="checkbox"/> Yes <input type="checkbox"/> |
| 9 | Have you in the last 10 Years been charged with or convicted of a criminal offence, forfeited bail or are there any charges or civil proceedings pending against you? (You may be required to provide a National Police Certificate) | No <input type="checkbox"/> Yes <input type="checkbox"/> |

Read and acknowledge the below and sign in section 10:

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| <p>TERMS AND CONDITIONS OF LICENCE:</p> <p>The rider's agent acknowledges and agrees to be subject to and be bound by:</p> <ul style="list-style-type: none"> a) The Rules of Racing of each Principal Racing as amended or varied by each Principal Racing Authority from time to time; b) The terms and conditions of licence and licence acknowledgements as published by each Principal Racing Authority c) Such rules and directions as may from time to time be formed, made or given by a Principal Racing Authority, the stewards of each Principal Racing Authority ("Stewards") or the officials of any racing club registered by each Principal Racing Authority to conduct thoroughbred racing under the Rules ("Club") d) The rider's agent submits to the non-exclusive jurisdiction of each Principal Racing Authority, its officials and Stewards in respect of all matters arising in relation to racing in the State or Territory of that Principal Racing Authority.. e) A Rider's Agent shall represent only persons in respect of whom he/she has obtained specific approval of Racing NSW. f) Not to make any public statement or comment concerning any matter currently the subject of investigation or hearing by a Principal Racing Authority, or the Stewards, Committee or Appeals panel or other body authorised by each. g) When applying initially for a Rider's Agent's licence a person shall submit a Rider's Agent/Jockeys agreement form completed by the rider/s or apprentice master/s in respect of whom he/she seeks to be licensed, and subsequently shall make further similar application for the variation of his/her licence to include any potential additional clients. h) A licensed Rider's Agent shall as soon as practical notify in writing the Licensing Supervisor or the Chairman of Stewards in the event of the termination of his/her contract with a jockey or apprentice master. i) In the event of any contract or agreement between a Rider's Agent and his/her client being in dispute, the parties to such contract or agreement shall seek their remedy in the course of contract law. j) A Rider's Agent who represents an Apprentice Jockey agrees that the Master of the Apprentice is responsible for the contracting of, and the fees payable to the Riders Agent. For this purpose the Rider's Agent is required to forward invoices for payment to the Apprentice's Master only k) No licensed Rider's Agent shall without the express permission of Stewards communicate in any way with his/her nominated rider while such rider is in any restricted area during any race meeting. l) No licensed Rider's Agent shall be party to any other person soliciting for riding engagements for his/her client in any restricted area on a racecourse during the currency of a race meeting. m) That I shall not be exempted from personal liability arising under the Rules for or by any reason whatsoever. n) A Rider's Agent is permitted to register agreements with no more than five Jockeys or Apprentices who ride regularly in NSW and further that a Rider's Agent is permitted to register an agreement with no more than four Jockeys or Apprentices who ride predominately in the same area of NSW. For this purpose, unless otherwise determined by Racing NSW, a Jockey or Apprentice who has ridden in 30 or more races in this State in either the current or |
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- the previous season will be considered as a Jockey/Apprentice who rides regularly in NSW.
- o) Subject to AR.195A(2) if a licensed person is disqualified his or her licence immediately ceases and determines and he or she must make application to the Principal Racing Authority to be relicensed.
 - p) A disqualified person is and remains bound by, and subject to, the Rules for the period of his or her disqualification.

GST

When the licensee is GST registered, the following agreement is given:

- The recipient may issue tax invoices in respect of the specified supplies.
- The supplier will not issue tax invoices in respect to those supplies.
- The supplier acknowledges that it is registered when it enters into the agreement and that it will notify the recipient if it ceases to be registered.
- The recipient acknowledges that it is registered when it enters into the agreement and that it will notify the supplier if it ceases to be registered.

PRIVACY AND THE USE, COLLECTION AND DISCLOSURE OF YOUR PERSONAL INFORMATION

The Principal Racing Authorities collect information about you when you submit this Application and in the course of related enquiries made of third parties for the purposes of the Thoroughbred Racing Act 1996 (NSW). The Principal Racing Authorities will use that information to assess your application and, if registration is approved, your ongoing status as a licensed person. To do that, the Principal Racing Authorities may disclose your information to third parties such as your employers (past, present or prospective), other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies if the Principal Racing Authorities believe that the disclosure is reasonably necessary for your ongoing status as a licensed person or to enable PRAs to fulfil their regulatory responsibilities and/or promote and protect the integrity of the sport to ensure compliance with the Rules of Racing. You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by the Principal Racing Authorities. By completing and submitting this application, you authorise the Principal Racing Authorities to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race books, racing calendars and other publications that can include (but are not limited to) websites.

Intellectual property

I agree that the Principal Racing Authorities will own all intellectual property in the information I submit with and in connection with this application for, and the grant and future maintenance of, the Licence. , and I hereby assign to the Principal Racing Authorities (and warrant that I am authorised to provide and assign) all such intellectual property and information and acknowledge that the Principal Racing Authorities may use (including by collating, modifying, publishing and distributing) all such intellectual property and information as it sees fit including" Note: for Licensed Persons this information relates to the usual publication of material in race books, racing calendars, publications and websites etc. Any information outside this standard will not be released by Racing NSW without the written permission of the Licensed person.

Criminal History Record Check

All new licence applicants are subject to Criminal History Record checks. Existing licensees may also be requested to undergo such checks. The information contained in these records may lead to the licence application being refused.

I certify that to the best of my knowledge and belief the particulars as outlined in this application are true and correct. I also declare that I understand that it is a serious offence under the Rules to make a false declaration and that the failure to accurately answer these questions may lead to this application being refused

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| Rider's Agent (Signature): | Today's Date: |
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