

3. Financial and Criminal History (Continued)

iv. Please place an X if within the last 3 years you have been:

- Declared bankrupt
- Discharged from bankruptcy
- Entered into arrangements with creditors or assigned your property to pay debts

If you have placed an X in any of the above, complete the "Additional Details Form 4".

v. Please place an X if you:

- Are or have been a director of a company that has experienced any insolvency issues
- Ceased being a director of a company and within 12 months of the resignation, the company experienced any insolvency issues

Insolvency issues include:

- Being subject to a winding up order (including creditors' voluntary winding up and/or court ordered winding up)
- Having a controller appointed, or entered into other arrangements with creditors due to insolvency
- Placed in receivership or administration, or under official management

If you have placed an X in any of the above, complete the "Additional Details Form 5".

vi. Please place an X if you:

- Have read questions i. to v. above and have no matters to declare that require the completion of Additional Details Forms

4. Declaration

Before signing your declaration please note the following penalties for false or misleading statements:

- Under s.307A of the *Crimes Act 1900* a person is guilty of an offence if she/he makes a false or misleading statement in an application for an authority or benefit. The penalty for false or misleading application is imprisonment for 2 years, or a fine of \$22,000 or both.
- Under s.43(1) of the *Home Building Act 1989*, the Commissioner may cancel a licence if it is later discovered that a licence holder misrepresented information in their licence application.

I, (insert name) **the newly appointed director to this licence or application, hereby authorise:**

- Fair Trading to make necessary inquiries with any organisation or individual to verify any information provided in this application to establish my identity and my eligibility for a licence or certificate.
- Fair Trading to publicise or pass to other governments and police agencies in other jurisdictions details of any lost or stolen document, to restrict its illegal use
- Any organisation or individual to disclose relevant information to Fair Trading for these purposes
- Fair Trading to make any inquiries and to receive and disclose any information which is relevant to the applicant's initial and ongoing eligibility to hold this licence/certificate.

I, (insert name) **the newly appointed director to this licence or application, hereby authorise:**

- It is a criminal offence under the *Crimes Act 1900* to deliberately make false or misleading statements
- This application and the information provided in this form remains the property of the NSW Government
- Information will be placed on a register open to the public in accordance with the *Home Building Act 1989* and available under the *Government Information (Public Access) Act 2009*
- Failure to supply information required on this form may delay the processing of this application
- I have a right to seek access to and correct any information I have supplied.



I declare that the statements made in this application are true and correct.

Name Signature Date: / /


IMPORTANT: YOU MUST COMPLETE & SIGN THE DECLARATION AND ATTACH A CURRENT COMPANY EXTRACT SHOWING ALL CURRENT DIRECTORS AVAILABLE FROM ASIC (www.asic.gov.au)

Logging this Form

Preferred Methods

-  • **Lodge in person:** at your local Service NSW or Government Access Centre.
For information on the location of your nearest Centre, please check our website at www.fairtrading.nsw.gov.au or telephone 12 32 20
-  • **or Email to:** HBlicensing@finance.nsw.gov.au

Alternative Methods

-  • **or Mail to:** NSW Fair Trading, PO Box 972, Parramatta, NSW 2124

OFFICE USE ONLY

- For new applicants or existing licensee
- current company extract from ASIC Yes No
- For existing licensees
- Form 484 from ASIC Yes No